

# Cototal enumeration degrees

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Siena University Logic Seminar

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<sup>1</sup>Supported by Bulgarian National Science Fund DN 02/16 /19.12.2016 and Sofia University Science Fund, 80-10-147/20.04.2017

## The enumeration degrees

### Definition

$A \leq_e B$  if there is a c.e. set  $W$ , such that

$$A = W(B) = \{x \mid \exists D(\langle x, D \rangle \in W \ \& \ D \subseteq B)\}.$$

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- The least upper bound:  $d_e(A) \vee d_e(B) = d_e(A \oplus B)$ .
- The enumeration jump:  $d_e(A)' = d_e(K_A \oplus \overline{K_A})$ , where  $K_A = \{\langle e, x \rangle \mid x \in W_e(A)\}$ .



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Equivalently,  $A \leq_e B$  if there is a single Turing functional which uniformly, given any enumeration of  $B$ , outputs an enumeration of  $A$ .

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### Definition

Given a set  $A$ , let  $\mathcal{E}(A)$  denote the collection of all Turing degrees computing enumerations of  $A$ , called the enumeration cone of  $A$ .

### Theorem (Selman)

$A$  is enumeration reducible to  $B$  if and only if  $\mathcal{E}(B) \subseteq \mathcal{E}(A)$ .

What connects  $\mathcal{D}_T$  and  $\mathcal{D}_e$

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$$A \leq_T B \Leftrightarrow A \oplus \bar{A} \leq_e B \oplus \bar{B}.$$

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A set  $A$  is *total* if its positive membership information already suffices to determine its negative membership information, i.e. if  $\bar{A} \leq_e A$ . An enumeration degree is *total* if it contains a total set.

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Within the enumeration degrees, the total degrees are an embedded copy of the Turing degrees  $\mathcal{D}_T$  via  $\iota : A \rightarrow A \oplus \bar{A}$ . The embedding  $\iota$  preserves the order, the least upper bound and the jump operation.

## Total and cototal

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A set  $A$  is *cototal* if  $A \leq_e \bar{A}$ . A degree  $\mathbf{a}$  is cototal if it contains a cototal set.

For every set  $A$  the set  $A \oplus \bar{A}$  is cototal.

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The cototal enumeration degrees form a proper substructure of  $\mathcal{D}_e$  closed under least upper bound and the enumeration jump operator.

- The name “*total*” is coming of the following fact: given a total function  $f$ , the set  $G(f) = \{\langle n, f(n) \rangle \mid n \in \omega\}$  is a total set.
- Equivalently, given a total function  $f$ , the graph-complement  $\overline{G(f)}$  is cototal.
- If an enumeration degree contains a set of the form  $\overline{G(f)}$ , then we call it *graph-cototal*.
- So every total enumeration degree is graph-cototal, and every graph-cototal is cototal.

# Motivation from symbolic dynamics by Emmanuel Jeandel

## Definition

- A subshift is a closed subset  $X \subseteq 2^\omega$  such that if  $a\alpha \in X$  then  $\alpha \in X$ .



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$$L_X = \{\sigma \in 2^{<\omega} \mid \exists \alpha \in X (\sigma \text{ is a subword of } \alpha)\}.$$
  - $\overline{L_X}$  is the set of forbidden words.
- ① If  $X$  is minimal and  $\sigma \in L_X$  then for every  $\alpha \in X$ ,  $\sigma$  is a subword of  $\alpha$ .  
So every element of  $X$  can enumerate the set  $L_X$ .

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- 1 If  $X$  is minimal and  $\sigma \in L_X$  then for every  $\alpha \in X$ ,  $\sigma$  is a subword of  $\alpha$ . So every element of  $X$  can enumerate the set  $L_X$ .
  - 2 If we can enumerate  $L_X$  then we can compute a member of  $X$ .
  - 3 The Turing degrees that compute elements of  $X$  are exactly the degrees that contain enumerations of  $L_X$ .

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- 3 The Turing degrees that compute elements of  $X$  are exactly the degrees that contain enumerations of  $L_X$ .
- 4 (Jaendel) If we can enumerate the set of forbidden words  $\overline{L_X}$  then we can enumerate  $L_X$ . So,  $L_X \leq_e \overline{L_X}$ .
- 5 (McCarthy) If  $A$  is cotal, then  $A \equiv_e L_X$  for some minimal subshift  $X$ .

## Three definitions

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A set  $A$  is *graph-cototal* if  $A \equiv_e \overline{G_f}$  for some total function  $f$ . A degree  $\mathbf{a}$  is graph-cototal if it contains a graph-cototal set.



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### Definition

A set  $A$  is *weakly cototal* if there is a set  $A \equiv_e B$ , such that  $\overline{B}$  is total.

It is clear that every cototal degree is weakly cototal, since if  $A \leq_e \overline{A}$ , then  $\overline{A}$  is a total set.

total  $\Rightarrow$  graph-cototal  $\Rightarrow$  cototal  $\Rightarrow$  weakly cototal.

## $\Sigma_2^0$ e-degrees

### Proposition

$\Sigma_2^0$  e-degrees are (graph-)cototal.

Let  $A$  be  $\Sigma_2^0$ . Consider the set  $K_A = \bigoplus_{e < \omega} \Gamma_e(A)$ . Then  $A \equiv_e K_A$  and

$$\overline{K_A} = \bigoplus_{e < \omega} \overline{\Gamma_e(A)} \geq_e \overline{K} \geq_e A \equiv_e K_A.$$

### Corollary

*Graph-cototal* does not imply *total*.

## Unique correct axiom

### Proposition

- There are  $\Pi_2^0$ -sets that do not even have cototal enumeration degree.
- But every  $\Pi_2^0$ -set has weakly cototal degree.  
$$A \equiv_e A \oplus K \Rightarrow \overline{A \oplus K} \equiv_e \overline{A} \oplus \overline{K} \equiv_e \overline{K} \in \mathbf{0}'_e$$
- There are  $\Delta_3^0$ -sets that are not even weakly cototal.

### Theorem

An e-degree  $\mathbf{a}$  is graph-cototal if and only if  $\mathbf{a}$  contains a cototal set  $A$ , such that for some enumeration operator  $\Gamma$ , we have that  $A = \Gamma(\overline{A})$  and for every  $n \in A$  there is a unique axiom  $\langle n, D \rangle \in \Gamma$  such that  $D \subseteq A$ .

*Goal:*

*Cototal does not imply graph-cototal.*

# Maximal independent sets

## Definition

Let  $G = (\mathbb{N}, E)$  be a graph and  $S \subseteq \mathbb{N}$ .

- 1  $S$  is an *independent set* for  $G$  if  $i \neq j$  are in  $S$  then  $(i, j) \notin E$ .
- 2 An independent set is *maximal* if it has no proper independent superset, i.e. for every element  $i \notin S$  there is a  $j \in S$  such that  $(i, j) \in E$ .

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If  $S$  is a maximal independent set for  $G$ , then  $S$  can enumerate its complement:  $i \in \overline{S}$  iff there is a  $j \neq i$  such that  $(i, j) \in E$  and  $j \in S$ .

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## Theorem

Every cototal degree contains the complement of maximal independent set for  $\omega^{<\omega}$ .

## Theorem

There is a cototal degree which is not graph-cototal.

## Maximal antichains

### Proposition

If  $C$  is a maximal antichain on  $\omega^{<\omega}$ , then  $\overline{C} \leq_e C$ , i.e.  $\overline{C}$  is cototal.

To determine if a string  $\sigma \in \omega^{<\omega}$  is in  $\overline{C}$ , we wait for some element comparable but not equal to  $\sigma$  to enter  $C$ . Since  $C$  is an antichain, we only identify elements of  $\overline{C}$  in this way. And by maximality, if  $\sigma \in \overline{C}$  then something comparable but not equal to  $\sigma$  must eventually enter  $C$ .

### Theorem (McCarthy)

Every cototal degree contains the complement of a maximal antichain in  $\omega^{<\omega}$ .



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### Definition (Montalban)

A tree  $T \subseteq 2^{<\omega}$  is *e-pointed* if it has no dead ends and every infinite path  $f \in [T]$  enumerates  $T$ .

### Theorem (McCarthy)

An e-degree is cototal if and only if it contains a (uniformly) e-pointed tree.

## Joins of nontrivial $\mathcal{K}$ -pairs

### Definition

A  $\mathcal{K}$ -pair is a pair of sets  $\{A, B\}$  for which there is a c.e. set  $W$  such that  $A \times B \subseteq W$  and  $\overline{A} \times \overline{B} \subseteq \overline{W}$ .

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### Proposition (Kalimullin)

Let  $\{A, B\}$  be a  $\mathcal{K}$ -pair. If  $A$  and  $B$  are not c.e. then:

- 1  $A \leq_e \overline{B}$  and  $\overline{A} \leq_e \emptyset' \oplus B$ .
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### Proposition

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*Proof:*  $A \oplus B \leq_e \overline{B} \oplus \overline{A} \equiv_e \overline{A \oplus B}$ .

## Continuous degrees

J. Miller introduced the continuous degrees  $\mathcal{D}_r$  to compare the complexity of points in computable metric spaces. A point  $x$  in a computable metric space can be described by a sequence of “rational” points that limit to it. For two points  $x; y$  we say that  $x \leq_r y$  if every description of  $y$  computes a description of  $x$ . The continuous degrees embed into  $\mathcal{D}_e$ . In fact,  $D_T \subset \mathcal{D}_r \subset \mathcal{D}_e$ .

### Definition (J. Miller)

An e-degree is continuous if it contains a set of the form

$A = \bigoplus_{i < \omega} (\{q \mid q < \alpha_i\} \oplus \{q \mid q > \alpha_i\})$ , where  $\{\alpha_i\}_{i < \omega}$  is a sequence of real numbers.

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### Proposition

Continuous degrees are cototal.

$$\overline{A} \equiv_e B = \bigoplus_{i < \omega} (\{q \mid q \leq \alpha_i\} \oplus \{q \mid q \geq \alpha_i\}).$$

Kihara and Pauly extend Miller’s idea to points in arbitrary represented topological spaces.

## The skip operator

Recall that  $\overline{K_A} = \bigoplus_e \overline{\Gamma_e(A)}$ .



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### Definition

The skip of  $A$  is the set  $A^\diamond = \overline{K_A}$ . The skip of a degree  $\mathbf{a}$  is  $\mathbf{a}^\diamond = d_e(A^\diamond)$ .

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### Proposition

A degree  $\mathbf{a}$  is cototal if and only if  $\mathbf{a} \leq \mathbf{a}^\diamond$  if and only if  $\mathbf{a}^\diamond = \mathbf{a}'$ .

$$\Rightarrow A \leq_e \overline{A} \leq_e A^\diamond$$

$$\Leftarrow K_A \equiv_e A \leq_e A^\diamond = \overline{K_A}$$

Recall that  $A' = K_A \oplus \overline{K_A}$ .

## Skip inversion

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Let  $S \geq_e \emptyset'$ . There is a set  $A$  such that  $A^\diamond \equiv_e S$ .

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We first build a table  $\hat{A}$  with one empty box in each column as a set c.e. in  $\emptyset'$ .

The set of empty boxes will be computable from  $\emptyset'$ .

Then  $A = \hat{A} \cup \{\langle n, s \rangle \mid n \in \overline{S}\}$ .

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We build  $A$  so that:

- 1  $S \leq_e \bar{A}$ .
- 2  $\bar{K}_A \leq_e S$ .

We first build a table  $\hat{A}$  with one empty box in each column as a set c.e. in  $\emptyset'$ .

The set of empty boxes will be computable from  $\emptyset'$ .

Then  $A = \hat{A} \cup \{\langle n, s \rangle \mid n \in \bar{S}\}$ .

Note!  $\bar{S} \leq_e A \oplus \emptyset'$ . So if we start out with an  $S$  that is not total set but belongs to a total degree then  $A$  is not cotal. But  $A \equiv_e K_A$  and  $\bar{K}_A = A^\diamond \equiv_e S$  has a total degree and so  $A$  is weakly cotal.

## Corollary

*Weakly cotal* does not imply *cotal*.

## Skip iteration

We can define the *iterated skip operator* of an enumeration degree  $\mathbf{a}$  by:

- $\mathbf{a}^{\langle 0 \rangle} = \mathbf{a}$
- $\mathbf{a}^{\langle n+1 \rangle} = (\mathbf{a}^{\langle n \rangle})^\diamond$ .

This iterated skip can exhibit exotic behavior:

### Theorem

For all enumeration degrees,  $\mathbf{a} \leq \mathbf{a}^{\diamond\diamond}$  and  $\mathbf{a}^\diamond \geq \mathbf{0}'$ , but not always  $\mathbf{a} \leq \mathbf{a}^\diamond$ .

$$\overline{A} \leq_1 A^\diamond \Rightarrow A \leq_1 \overline{A^\diamond} \leq_1 A^{\diamond\diamond}.$$



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Any such enumeration degree lies above all total hyperarithmetical enumeration degrees.

## Iterating the skip

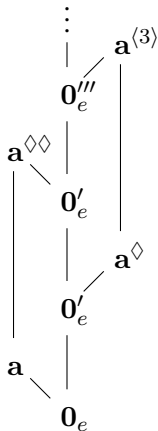


Figure: Iterated skips of a degree

## Zig-zag

If  $\mathbf{a}^{(n)}$  is not cototal for every  $n$ :

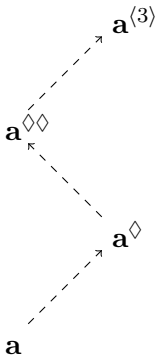


Figure: Iterated skips of a degree: the zig-zag

## Generic sets

### Definition

Let  $G$  and  $X$  be sets of natural numbers.  $G$  is 1- generic relative to  $\langle X \rangle$  if and only if for every  $W \subseteq 2^{<\omega}$  such that  $W \leq_e X$ :

$$(\exists \sigma \preceq G)[\sigma \in W \vee (\forall \tau \succeq \sigma)[\tau \notin W]].$$

### Proposition

If  $G$  is 1-generic relative to  $\langle X \rangle$  then:

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- $(G \oplus X)^\diamond = \overline{G} \oplus X'$ .



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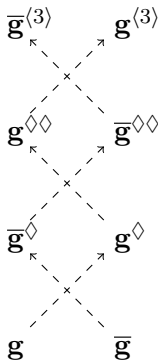
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If  $G$  is arithmetically generic, i.e.  $G$  is 1-generic relative to  $\langle \emptyset^{(n)} \rangle$ , for every  $n$ , then the skips of  $G$  and  $\overline{G}$  form a double helix.

- If  $n$  is odd then  $G^{\langle n \rangle} \equiv_e \overline{G} \oplus \emptyset^{(n)}$  and  $(\overline{G})^{\langle n \rangle} \equiv_e G \oplus \emptyset^{(n)}$ .
- If  $n$  is even then  $G^{\langle n \rangle} \equiv_e G \oplus \emptyset^{(n)}$  and  $(\overline{G})^{\langle n \rangle} \equiv_e \overline{G} \oplus \emptyset^{(n)}$ .

## Double zig-zag



**Figure:** Iterated skips of a degrees of an arithmetically generic set and its complement: double zig-zag

## Skips of nontrivial $\mathcal{K}$ -pairs

### Proposition

If  $\{A, B\}$  is a non-trivial  $\mathcal{K}$ -pair then  $A^\diamond \equiv_e B \oplus \emptyset'$ .

If  $\{A, B\}$  is a non-trivial  $\mathcal{K}$ -pair relative to  $\langle X \rangle$  then  $(A \oplus X)^\diamond \leq_e B \oplus X^\diamond$ .

The oracle  $X$  is of cototal degree iff we have equivalence above for every nontrivial  $\mathcal{K}$ -pair relative to  $\langle X \rangle$ .

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If  $\{A, B\}$  is a non-trivial  $\mathcal{K}$ -pair relative to  $\emptyset^{(n)}$  for every  $n$  then the iterate skips of  $A$  and  $B$  form a double zig-zag.

- if  $n$  is odd then  $A^{(n)} \equiv_e B \oplus \emptyset^{(n)}$  and  $B^{(n)} \equiv_e A \oplus \emptyset^{(n)}$ , and
- if  $n$  is even then  $A^{(n)} \equiv_e A \oplus \emptyset^{(n)}$  and  $B^{(n)} \equiv_e B \oplus \emptyset^{(n)}$ .

## Skip iterations

### Theorem (Ganchev, Sorbi)

For every enumeration degree  $\mathbf{x} > \mathbf{0}_e$ , there is a degree  $\mathbf{a} \leq \mathbf{x}$  such that  $\mathbf{a}$  is half of a nontrivial  $\mathcal{K}$ -pair and such that  $\mathbf{a}' = \mathbf{x}'$ .

$$A' \equiv_e A \oplus A^\diamond \equiv_e A \oplus B \oplus \emptyset' \equiv_e B \oplus B^\diamond \equiv_e B'.$$

### Proposition

- If  $\mathbf{x}$  is high ( $\mathbf{x}' = \mathbf{0}''$ ):

$$\mathbf{b}^\diamond < \mathbf{b}' = \mathbf{b}^{\diamond\diamond} < \mathbf{b}'' = \mathbf{b}^{\langle 3 \rangle} < \dots < \mathbf{b}^{\langle n \rangle} = \mathbf{b}^{\langle n+1 \rangle} < \dots$$

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# The cototal degrees are dense

## Corollary

The relation

$$SK = \left\{ (\mathbf{a}, \mathbf{a}^\diamond) \mid \mathbf{a} \text{ is half of a nontrivial } \mathcal{K}\text{-pair} \right\}$$

is first-order definable in  $\mathcal{D}_e$ .

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*Question:* Is the skip operator definable in  $\mathcal{D}_e$ ?



## Good e-degrees

### Definition (Lachlan, Shore)

A uniformly computable sequence of finite sets  $\{A_s\}_{s < \omega}$  is a *good approximation* to a set  $A$  if:

$$G1(\forall n)(\exists s)(A \upharpoonright n \subseteq A_s \subseteq A)$$

$$G2(\forall n)(\exists s)(\forall t > s)(A_t \subseteq A \Rightarrow A \upharpoonright n \subseteq A_t).$$

An enumeration degree is *good* if it contains a set with a good approximation.

- Good e-degrees cannot be tops of empty intervals.
- Total enumeration degrees and enumeration degrees of  $n$ -c.e.a. sets are good.

## The cototal degrees are dense

### Theorem (Harris; Miller, M. Soskova)

The good enumeration degrees are exactly the cototal enumeration degrees.

If  $A$  has a good approximation then

$$A \leq_e \{ \langle x, s \rangle \mid (\forall t > s)(A_t \subseteq A \Rightarrow x \in A) \} \leq_e A^\diamond.$$

Every uniformly e-pointed tree has a good approximation.

### Theorem (Miller, M. Soskova)

The cototal enumeration degrees are dense.

If  $V <_e U$  are cototal and  $U$  has a good approximation they build  $\Theta$  such that  $\Theta(U)$  is the complement of a maximal independent set and

$$V <_e \Theta(U) \oplus V <_e U.$$