Structural properties of spectra and ω -spectra CiE 2017, Turku

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Alexandra A. Soskova (Sofia University) Structural properties of spectra and ω -spectra

Enumeration reducibility

Definition. We say that $\Gamma : 2^{\mathbb{N}} \to 2^{\mathbb{N}}$ is an *enumeration operator* iff for some c.e. set W_e for each $B \subseteq \mathbb{N}$

 $\Gamma(B) = \{ x | (\exists D) [\langle x, D \rangle \in W_e \& D \subseteq B] \}.$

Definition. The set *A* is *enumeration reducible to* the set *B* ($A \leq_e B$), if $A = \Gamma(B)$ for some e-operator Γ . The enumeration degree of *A* is $d_e(A) = \{B \subseteq \mathbb{N} | A \equiv_e B\}$. The set of all enumeration degrees is denoted by \mathcal{D}_e .

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The enumeration jump

Definition. Given a set *A*, denote by $A^+ = A \oplus (\mathbb{N} \setminus A)$.

Theorem. For any sets A and B:

- A is c.e. in B iff $A \leq_e B^+$.
- 2 $A \leq_T B$ iff $A^+ \leq_e B^+$.

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- $a \leq_T B \text{ iff } A^+ \leq_e B^+.$

Definition. For any set A let $K_A = \{ \langle i, x \rangle | x \in \Gamma_i(A) \}$. Set $A' = K_A^+$.

Definition. A set *A* is called *total* iff $A \equiv_e A^+$.

Let $d_e(A)' = d_e(A')$. The enumeration jump is always a total degree and agrees with the Turing jump under the standard embedding $\iota : \mathcal{D}_T \to \mathcal{D}_e$ by $\iota(d_T(A)) = d_e(A^+)$.

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Enumeration degree spectra

Let $\mathfrak{A} = (A; R_1, \dots, R_k)$ be a countable structure. An enumeration of \mathfrak{A} is every total surjective mapping of \mathbb{N} onto A.

Given an enumeration f of \mathfrak{A} and a subset of B of A^n , let

$$f^{-1}(B) = \{ \langle x_1, \ldots, x_n \rangle \mid (f(x_1), \ldots, f(x_n)) \in B \}.$$

$$f^{-1}(\mathfrak{A}) = f^{-1}(R_1) \oplus \cdots \oplus f^{-1}(R_k) \oplus f^{-1}(=) \oplus f^{-1}(\neq).$$

Definition. The enumeration degree spectrum of \mathfrak{A} is the set

 $DS(\mathfrak{A}) = \{ d_e(f^{-1}(\mathfrak{A})) \mid f \text{ is an enumeration of } \mathfrak{A} \}.$

If **a** is the least element of $DS(\mathfrak{A})$, then **a** is called the *e*-degree of \mathfrak{A} .

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Enumeration degree spectra

Proposition. The enumeration degree spectrum is closed upwards with respect to total e-degrees, i.e. if $\mathbf{a} \in DS(\mathfrak{A})$, **b** is a total e-degree $\mathbf{a} \leq_{e} \mathbf{b}$ then $\mathbf{b} \in DS(\mathfrak{A})$.

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Let
$$\mathfrak{A}^+ = (A, R_1, ..., R_k, R_1^c, ..., R_k^c).$$

Proposition. $\iota(DS_T(\mathfrak{A})) = DS(\mathfrak{A}^+).$

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Co-spectra

Definition. Let A be a nonempty set of enumeration degrees. The *co-set of* A is the set co(A) of all lower bounds of A. Namely

$co(\mathcal{A}) = \{ \mathbf{b} : \mathbf{b} \in \mathcal{D}_{e} \& (\forall \mathbf{a} \in \mathcal{A}) (\mathbf{b} \leq_{e} \mathbf{a}) \}.$

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Definition. Given a structure \mathfrak{A} , set $CS(\mathfrak{A}) = co(DS(\mathfrak{A}))$. If **a** is the greatest element of $CS(\mathfrak{A})$ then we call **a** the *co-degree* of \mathfrak{A} .

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The formally definable sets on \mathfrak{A}

Definition. A Σ_1^+ formula with free variables among X_1, \ldots, X_r is a c.e. disjunction of existential formulae of the form $\exists Y_1 \ldots \exists Y_k \theta(\bar{Y}, \bar{X})$, where θ is a finite conjunction of atomic formulae.

Definition. A set $B \subseteq \mathbb{N}$ is *formally definable* on \mathfrak{A} if there exists a recursive function $\gamma(x)$, such that $\bigvee_{x \in \mathbb{N}} \Phi_{\gamma(x)}$ is a Σ_1^+ formula with free variables among X_1, \ldots, X_r and elements t_1, \ldots, t_r of A such that the following equivalence holds:

$$x \in B \iff \mathfrak{A} \models \Phi_{\gamma(x)}(X_1/t_1,\ldots,X_r/t_r)$$
.

Theorem. Let $B \subseteq \mathbb{N}$. Then

$$\bigcirc d_e(B) \in CS(\mathfrak{A})$$
 iff

2 B is formally definable on \mathfrak{A} .

Some examples

- For every linear ordering DS(𝔅) contains a minimal pair of degrees [Richter] and hence **0**_e is the co-degree of 𝔅. So, if 𝔅 has a degree **a**, then **a** = **0**_e.
- For a linear ordering A, CS₁(A) consists of all e-degrees of Σ⁰₂ sets [Knight]. The first co-degree of A is 0'_e.
- There exists a structure \mathfrak{A} [Slaman, Whener]

 $DS(\mathfrak{A}) = \{ \mathbf{a} : \mathbf{a} \text{ is total and } \mathbf{0}_e < \mathbf{a} \}.$

Clearly the structure \mathfrak{A} has co-degree $\mathbf{0}_e$ but has no degree.

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Representing the principle countable ideals as co-spectra

Example. Let G be a torsion free abelian group of rank 1. [Coles, Downey, Slaman; Soskov] There exists an enumeration degree s_G such that

- $DS(G) = \{\mathbf{b} : \mathbf{b} \text{ is total and } \mathbf{s}_G \leq_e \mathbf{b}\}.$
- The co-degree of G is \mathbf{s}_G .
- G has a degree iff **s**_G is a total e-degree.

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- The co-degree of G is \mathbf{s}_G .
- G has a degree iff **s**_G is a total e-degree.

For every $\mathbf{d} \in \mathcal{D}_e$ there exists a G, s.t. $\mathbf{s}_G = \mathbf{d}$.

Corollary. Every principle ideal of enumeration degrees is CS(G) for some *G*.

Representing non-principle countable ideals as co-spectra

Theorem.[Soskov] Every countable ideal is the co-spectrum of a structure.

Proof.

Let B_0, \ldots, B_n, \ldots be a sequence of sets of natural numbers. Set $\mathfrak{A} = (\mathbb{N}; G_f; \sigma)$,

$$f(\langle i, n \rangle) = \langle i + 1, n \rangle;$$

$$\sigma = \{ \langle i, n \rangle : n = 2k + 1 \lor n = 2k \& i \in B_k \}.$$

Then $CS(\mathfrak{A}) = I(d_e(B_0), \ldots, d_e(B_n), \ldots)$

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Spectra with a countable base

Definition. Let $\mathcal{B} \subseteq \mathcal{A}$ be sets of degrees. Then \mathcal{B} is a base of \mathcal{A} if

$(\forall \mathbf{a} \in \mathcal{A})(\exists \mathbf{b} \in \mathcal{B})(\mathbf{b} \leq \mathbf{a}).$

Spectra with a countable base

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 $(\forall a \in \mathcal{A})(\exists b \in \mathcal{B})(b \leq a).$

Theorem. A structure \mathfrak{A} has e-degree if and only if $DS(\mathfrak{A})$ has a countable base.

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An upwards closed set of degrees which is not a degree spectra of a structure



The minimal pair theorem

Theorem. Let $\mathbf{c} \in DS_2(\mathfrak{A})$. There exist $\mathbf{f}, \mathbf{g} \in DS(\mathfrak{A})$ such that \mathbf{f}, \mathbf{g} are total, $\mathbf{f}'' = \mathbf{g}'' = \mathbf{c}$ and $CS(\mathfrak{A}) = co(\{\mathbf{f}, \mathbf{g}\})$.

Notice that for every enumeration degree **b** there exists a structure $\mathfrak{A}_{\mathbf{b}}$ such that $DS(\mathfrak{A}_{\mathbf{b}}) = \{\mathbf{x} \in \mathcal{D}_T | \mathbf{b} <_e \mathbf{x}\}$. Hence

Corollary.[*Rozinas*] For every $\mathbf{b} \in \mathcal{D}_e$ there exist total \mathbf{f}, \mathbf{g} below \mathbf{b}'' which are a minimal pair over \mathbf{b} .

The quasi-minimal degree

Definition. Let A be a set of enumeration degrees. The degree **q** is quasi-minimal with respect to A if:

- $\mathbf{q} \notin co(\mathcal{A})$.
- If **a** is total and $\mathbf{a} \geq \mathbf{q}$, then $\mathbf{a} \in \mathcal{A}$.
- If **a** is total and $\mathbf{a} \leq \mathbf{q}$, then $\mathbf{a} \in co(\mathcal{A})$.

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Corollary.[Slaman and Sorbi] Let I be a countable ideal of enumeration degrees. There exists an enumeration degree **q** s.t.

1 If
$$\mathbf{a} \in I$$
 then $\mathbf{a} <_e \mathbf{q}$.

2 If **a** is total and $\mathbf{a} \leq_e \mathbf{q}$ then $\mathbf{a} \in I$.

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Jumps of quasi-minimal degrees

Proposition. For every countable structure \mathfrak{A} there exist uncountably many quasi-minimal degrees with respect to $DS(\mathfrak{A})$.

Proposition. The first jump spectrum of every structure \mathfrak{A} consists exactly of the enumeration jumps of the quasi-minimal degrees.

Corollary.[*McEvoy*] For every total e-degree $\mathbf{a} \ge_e \mathbf{0}'_e$ there is a quasi-minimal degree \mathbf{q} with $\mathbf{q}' = \mathbf{a}$.

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Proposition.[*Jockusch*] For every total e-degree **a** there are quasi-minimal degrees **p** and **q** such that $\mathbf{a} = \mathbf{p} \lor \mathbf{q}$.

Proposition. For every element **a** of the jump spectrum of a structure \mathfrak{A} there exists quasi-minimal with respect to \mathfrak{A} degrees **p** and **q** such that $\mathbf{a} = \mathbf{p} \lor \mathbf{q}$.

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Every jump spectrum is the spectrum of a structure

Let $\mathfrak{A} = (A; R_1, ..., R_n)$. Let $\overline{0} \notin A$. Set $A_0 = A \cup \{\overline{0}\}$. Let $\langle ., . \rangle$ be a pairing function s.t. none of the elements of A_0 is a pair and A^* be the least set containing A_0 and closed under $\langle ., . \rangle$. Let L and R be the decoding functions.

Definition. *Moschovakis' extension* of \mathfrak{A} is the structure

$$\mathfrak{A}^* = (A^*, R_1, \ldots, R_n, A_0, G_{\langle \ldots \rangle}, G_L, G_R).$$

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Let $K_{\mathfrak{A}} = \{ \langle \delta, e, x \rangle : (\exists \tau \supseteq \delta)(\tau \Vdash F_e(x)) \}.$ Set $\mathfrak{A}' = (\mathfrak{A}^*, K_{\mathfrak{A}}, A^* \setminus K_{\mathfrak{A}}).$

Theorem. $DS_1(\mathfrak{A}) = DS(\mathfrak{A}').$

The jump inversion theorem

Let $\alpha < \omega_1^{CK}$ and \mathfrak{A} be a countable structure such that all elements of $DS(\mathfrak{A})$ are above $\mathbf{0}^{(\alpha)}$. Does there exist a structure \mathfrak{M} such that $DS_{\alpha}(\mathfrak{M}) = DS(\mathfrak{A})$?

Theorem. [Soskov, AS] $\alpha = 1$. If $DS(\mathfrak{A}) \subseteq DS_1(\mathfrak{B})$ then there exists a structure \mathfrak{C} such that $DS(\mathfrak{C}) \subseteq DS(\mathfrak{B})$ and $DS_1(\mathfrak{C}) = DS(\mathfrak{A})$.

Method: Marker's extensions.

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Method: Marker's extensions.

Remark.

- 2009 *Montalban* Notes on the jump of a structure, Mathematical Theory and Computational Practice, 372–378.
- 2009 Stukachev A jump inversion theorem for the semilattices of Sigma-degrees, Siberian Electronic Mathematical Reports, v. 6, 182 – 190

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Jump inversion theorem

- The jump inversion theorem holds for successor ordinals [Goncharov-Harizanov-Knight-McCoy-Miller-Solomon, 2006; Vatev,2013]
- The jump inversion theorem does not hold for ω . [Soskov 2013]

ω -Enumeration Degrees

- Uniform reducibility on sequences of sets.
- For the sequence of sets of natural numbers B = {B_n}_{n<ω} call the jump class of B the set

$$J_{\mathcal{B}} = \{ d_{\mathrm{T}}(X) \mid (\forall n) (B_n \text{ is c.e. in } X^{(n)} \text{ uniformly in } n) \}$$

Definition. $A \leq_{\omega} B$ (A is ω -enumeration reducible to B) if $J_B \subseteq J_A$

• $\mathcal{A} \equiv_{\omega} \mathcal{B}$ if $J_{\mathcal{A}} = J_{\mathcal{B}}$.

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ω -Enumeration Degrees

- The relation ≤_ω induces a partial ordering of D_ω with least element **0**_ω = d_ω(Ø_ω), where Ø_ω is the sequence with all members equal to Ø.
- D_ω is further an upper semi-lattice, with least upper bound induced by A ⊕ B = {X_n ⊕ Y_n}_{n<ω}.
- If $A \subseteq \mathbb{N}$ denote by $A \uparrow \omega = \{A, \emptyset, \emptyset, \dots\}$.
- The mapping κ(d_e(A)) = d_ω(A ↑ ω) gives an isomorphic embedding of D_e to D_ω, where A ↑ ω = {A, Ø, Ø, ... }.

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ω -Enumeration Degrees

Let $\mathcal{B} = \{B_n\}_{n < \omega}$. The jump sequence $\mathcal{P}(\mathcal{B}) = \{\mathcal{P}_n(\mathcal{B})\}_{n < \omega}$: 1 $\mathcal{P}_0(\mathcal{B}) = B_0$ 2 $\mathcal{P}_{n+1}(\mathcal{B}) = (\mathcal{P}_n(\mathcal{B}))' \oplus B_{n+1}$

Definition. A is enumeration reducible \mathcal{B} ($A \leq_e \mathcal{B}$) iff $A_n \leq_e B_n$ uniformly in n.

Theorem.[Soskov, Kovachev] $A \leq_{\omega} B \iff A \leq_{e} P(B)$.

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ω -Enumeration Jump

Definition. The ω -enumeration jump of \mathcal{A} is $\mathcal{A}' = \{\mathcal{P}_{n+1}(\mathcal{A})\}_{n < \omega}$

•
$$J'_{\mathcal{A}} = \{ \mathbf{a}' \mid \mathbf{a} \in J_{\mathcal{A}} \}.$$

- The jump is monotone and agrees with the enumeration jump.
- Soskov and Ganchev: Strong jump inversion theorem: for a⁽ⁿ⁾ ≤ b there exists a *least* x ≥ a such that x⁽ⁿ⁾ = b. So, every degree x in the range of the jump operator has a least jump invert.
- Soskov and Ganchev: if we add a predicate for the jump operator to the language of partial orders then the natural copy of the enumeration degrees in the omega enumeration degrees becomes first order definable.
- The two structures have the same automorphism group.
- Ganchev and Sariev: The jump operator in the upper semi-lattice of the ω -enumeration degrees is first order definable.

ω - Degree Spectra

Let $\mathfrak{A} = (\mathbb{N}; R_1, \dots, R_k, =, \neq)$ be an abstract structure and $\mathcal{B} = \{B_n\}_{n < \omega}$ be a fixed sequence of subsets of \mathbb{N} . The enumeration *f* of the structure \mathfrak{A} is *acceptable with respect to* \mathcal{B} , if for every *n*,

$$f^{-1}(B_n) \leq_{\mathrm{e}} f^{-1}(\mathfrak{A})^{(n)}$$
 uniformly in *n*.

Denote by $\mathcal{E}(\mathfrak{A}, \mathcal{B})$ - the class of all acceptable enumerations.

Definition. The ω - degree spectrum of \mathfrak{A} with respect to $\mathcal{B} = \{B_n\}_{n < \omega}$ is the set

$$\mathrm{DS}(\mathfrak{A},\mathcal{B}) = \{ d_{\mathrm{e}}(f^{-1}(\mathfrak{A})) \mid f \in \mathcal{E}(\mathfrak{A},\mathcal{B}) \}$$

Proposition. $DS(\mathfrak{A}, \mathcal{B})$ is upwards closed with respect to total *e-degrees.*

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ω -Co-Spectra

For every $\mathcal{A} \subseteq \mathcal{D}_{\omega}$ let $co(\mathcal{A}) = \{ \mathbf{b} \mid \mathbf{b} \in \mathcal{D}_{\omega} \& (\forall \mathbf{a} \in \mathcal{A}) (\mathbf{b} \leq_{\omega} \mathbf{a}) \}.$

Definition. The ω -co-spectrum of \mathfrak{A} with respect to \mathcal{B} is the set

 $CS(\mathfrak{A}, \mathcal{B}) = co(DS(\mathfrak{A}, \mathcal{B})).$

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Definition. The ω -co-spectrum of \mathfrak{A} with respect to \mathcal{B} is the set $CS(\mathfrak{A}, \mathcal{B}) = co(DS(\mathfrak{A}, \mathcal{B})).$

Proposition. $co(A) = co(\{a : a \in A \& a \text{ is total}\}).$

Corollary. $CS(\mathfrak{A}, \mathcal{B}) = co(\{a \mid a \in DS(\mathfrak{A}, \mathcal{B}) \& a \text{ is a total e-degree}\}).$

Minimal pair theorem

Theorem. For every structure \mathfrak{A} and every sequence \mathcal{B} there exist total enumeration degrees **f** and **g** in $DS(\mathfrak{A}, \mathcal{B})$ such that for every ω -enumeration degree **a** and $k \in \mathbb{N}$:

$$\mathbf{a} \leq_{\omega} \mathbf{f}^{(k)} \& \mathbf{a} \leq_{\omega} \mathbf{g}^{(k)} \Rightarrow \mathbf{a} \in \mathrm{CS}_k(\mathfrak{A}, \mathcal{B})$$

Quasi-Minimal Degree

Theorem. For every structure \mathfrak{A} and every sequence \mathcal{B} , there exists $F \subseteq \mathbb{N}$, such that $\mathbf{q} = d_{\omega}(F \uparrow \omega)$ and:

- $\mathbf{q} \notin \mathrm{CS}(\mathfrak{A}, \mathcal{B});$
- 2 If **a** is a total e-degree and $\mathbf{a} \ge_{\omega} \mathbf{q}$ then $\mathbf{a} \in DS(\mathfrak{A}, \mathcal{B})$
- If **a** is a total e-degree and $\mathbf{a} \leq_{\omega} \mathbf{q}$ then $\mathbf{a} \in CS(\mathfrak{A}, \mathcal{B})$.

Countable ideals of ω -enumeration degrees

- $I = CS(\mathfrak{A}, \mathcal{B})$ is a countable ideal.
- CS(𝔄, 𝔅) = I(𝑘_ω) ∩ I(𝑘_ω) where I(𝑘_ω) and I(𝑘_ω) are the principal ideals of ω-enumeration degrees with greatest elements 𝑘_ω = κ(𝑘) and 𝑘_ω = κ(𝑘), the images of 𝑘 and 𝑘 under the embedding κ of 𝔅_θ in 𝔅_ω.
- Denote by *I*^(k) the least ideal, containing all *k*th ω-jumps of the elements of *I*.

Proposition. [Ganchev] $I = I(\mathbf{f}_{\omega}) \cap I(\mathbf{g}_{\omega}) \Longrightarrow I^{(k)} = I(\mathbf{f}_{\omega}^{(k)}) \cap I(\mathbf{g}_{\omega}^{(k)})$ for every *k*.

Corollary. $CS_k(\mathfrak{A}, \mathcal{B})$ is the least ideal containing all kth ω -jumps of the elements of $CS(\mathfrak{A}, \mathcal{B})$.

Countable ideals of ω -enumeration degrees

There is a countable ideal *I* of ω -enumeration degrees for which there is no structure \mathfrak{A} and sequence \mathcal{B} such that $I = CS(\mathfrak{A}, \mathcal{B})$.

- Consider $\mathcal{A} = \{\mathbf{0}_{\omega}, \mathbf{0}_{\omega}', \mathbf{0}_{\omega}'', \dots, \mathbf{0}_{\omega}^{(n)}, \dots\};$
- $I = I(d_{\omega}(\mathcal{A})) = \{ \mathbf{a} \mid \mathbf{a} \in \mathcal{D}_{\omega} \& (\exists n) (\mathbf{a} \leq_{\omega} \mathbf{0}^{(n)}) \}$
- Assume that there is a structure 𝔅 and a sequence 𝔅 such that
 I = CS(𝔅, 𝔅)
- Then there is a minimal pair **f** and **g** for $DS(\mathfrak{A}, \mathcal{B})$, so $I^{(n)} = I(\mathbf{f}_{\omega}^{(n)}) \cap I(\mathbf{g}_{\omega}^{(n)})$ for each *n*.
- But $\mathbf{f}_{\omega} \geq \mathbf{0}_{\omega}^{(n)}$ and $\mathbf{g}_{\omega} \geq \mathbf{0}_{\omega}^{(n)}$ for each *n*.
- If $F \in \mathbf{f}$ and $G \in \mathbf{g}$ then $F \ge_T \emptyset^{(n)}$ and $G \ge_T \emptyset^{(n)}$ for every n.
- Then by Enderton and Putnam [1970], Sacks [1971] $F'' \ge_T \emptyset^{(\omega)}$ and $G'' \ge \emptyset^{(\omega)}$ and hence $\mathbf{f}'' \ge_T \mathbf{0}_T^{(\omega)}$ and $\mathbf{g}'' \ge_T \mathbf{0}_T^{(\omega)}$.
- Then $\kappa(\iota(\mathbf{0}_{T}^{(\omega)})) \in I(\mathbf{f}_{\omega}^{"}) \cap I(\mathbf{g}_{\omega}^{"}).$
- But κ(ι(**0**^(ω)_T)) ∉ I" since all elements of I" are bounded by **0**^(k+2)_ω for some k.
- Hence $I'' \neq I(\mathbf{f}_{\omega''}) \cap I(\mathbf{g}_{\omega''})$. A contradiction.

Degree spectra

- Questions:
 - ► Describe the sets of enumeration degrees which are equal to DS(𝔅) for some structure 𝔅.
 - For a countable ideal *I* ⊆ D_ω if there is an exact pair then are there a structure 𝔄 and a sequence 𝔅 so that CS(𝔅, 𝔅) = *I*?
 - Is it true that for every structure A and every sequence B there exists a structure B such that CS_ω(B) = CS(A, B)? The answer is yes, Soskov (2013), using Marker's extentions

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