

On the complexity of irrational numbers under different representations

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Acknowledgements

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Our framework for complexity is subrecursive: roughly speaking, a computation is *subrecursive* if the number of iterations in any cycle can be computed in advance, just before executing the cycle. Thus unbounded search is not allowed in a subrecursive computation.

Representations of real numbers

Let ξ be an irrational number.

Definition

The function $C : \mathbb{N} \rightarrow \mathbb{Q}$ is a *Cauchy sequence* for ξ if and only if for all $n \in \mathbb{N}$

$$|C(n) - \xi| < \frac{1}{2^n}.$$

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$$D(q) = 0 \Leftrightarrow q < \xi.$$

The function $T : \mathbb{Q} \rightarrow \mathbb{Q}$ is a *trace function* for ξ if and only if for all $q \in \mathbb{Q}$

$$|T(q) - \xi| < |q - \xi|.$$

Subrecursive classes

Let ϕ, ψ be total functions in the natural numbers.

We say that ϕ is *elementary in* ψ ($\phi \leq_E \psi$) if and only if ϕ can be generated from ψ and the initial functions (projections, constants, successor, $\lambda n.2^n$) using elementary operations (composition and bounded primitive recursion).

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We assume some coding of \mathbb{Z} and \mathbb{Q} into the natural numbers.

Under this coding all of the usual basic operations will be elementary. Thus we allow \mathbb{Z} or \mathbb{Q} in place of \mathbb{N} for the arguments and/or the result of the functions ϕ, ψ .

Subrecursive classes II

A function $h : \mathbb{N} \rightarrow \mathbb{N}$ is *honest* if h is monotonically increasing ($h(n) \leq h(n+1)$), dominates $\lambda n.2^n$ ($h(n) \geq 2^n$) and has elementary graph (the relation $f(x) = y$ is elementary).

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For any subrecursive class \mathcal{S} there exists an honest function f , such that $f \notin \mathcal{S}$.

Roughly speaking, the graph of f is easily computable, but f grows too fast to belong to \mathcal{S} .

Recursive real numbers

Proposition

The following are equivalent for an irrational number ξ :

- ▶ *there exists a computable Cauchy sequence for ξ ;*
- ▶ *the Dedekind cut of ξ is computable;*
- ▶ *there exists a computable trace function for ξ .*

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From our viewpoint any representation of the rational numbers is considered trivial.

From Dedekind cut to Cauchy sequence

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Finally, by taking q_n to be the rational number, consisting of the whole part of ξ and its first n digits after the decimal point, we obtain a Cauchy sequence for ξ .

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In the reverse direction, it is not possible to compute subrecursively the Dedekind cut of an irrational number ξ given a Cauchy sequence for ξ .

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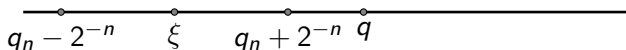
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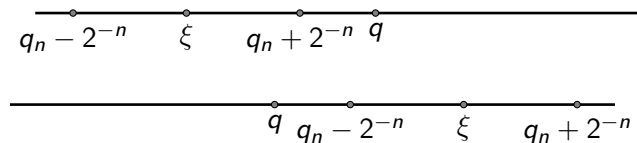
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But conversely, given the Dedekind cut of ξ is not possible to obtain a trace function T subrecursively.

Given $q \in \mathbb{Q}$, an unbounded search is needed to find $T(q) \in \mathbb{Q}$, such that $q < T(q) < \xi$ or $\xi < T(q) < q$.

First result

For a class \mathcal{S} of functions, we denote by $\mathcal{S}_T, \mathcal{S}_D, \mathcal{S}_C$ the set of all real numbers, which have a trace function, Dedekind cut or Cauchy sequence in \mathcal{S} , respectively.

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Theorem (Kristiansen)

For any subrecursive class \mathcal{S} , closed under elementary operations we have

$$\mathcal{S}_T \subset \mathcal{S}_D \subset \mathcal{S}_C.$$

Base- b expansions

From now on we consider only irrational numbers $\xi \in (0, 1)$.

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For any base $b \geq 2$, there exists a unique sequence $D_1 D_2 \dots D_n \dots$ of b -digits, such that

$$0.D_1 D_2 \dots D_n < \xi < 0.D_1 D_2 \dots D_n + \frac{1}{b^n}$$

for any number $n \in \mathbb{N}$.

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Theorem (Mostowski, Kristiansen)

For any subrecursive class \mathcal{S} , closed under elementary operations and any two bases a, b we have

$$\mathcal{S}_{bE} \subseteq \mathcal{S}_{aE} \iff \text{every prime factor of } a \text{ is a prime factor of } b.$$

Base- b expansions II

It follows trivially that for any base b there exists base a , such that \mathcal{S}_{aE} and \mathcal{S}_{bE} are not comparable with respect to inclusion.

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Base- b expansions II

It follows trivially that for any base b there exists base a , such that \mathcal{S}_{aE} and \mathcal{S}_{bE} are not comparable with respect to inclusion. But from the considerations above we have $\mathcal{S}_D \subseteq \mathcal{S}_{aE} \subseteq \mathcal{S}_C$, therefore

$$\mathcal{S}_T \subset \mathcal{S}_D \subset \mathcal{S}_{bE} \subset \mathcal{S}_C$$

for any subrecursive class \mathcal{S} , closed under elementary operations and any base b .

Base- b sum approximations from below

Let us fix some base b . Any irrational number $\xi \in (0, 1)$ can be written in the form

$$\xi = \frac{d_1}{b^{k_1}} + \frac{d_2}{b^{k_2}} + \frac{d_3}{b^{k_3}} + \dots,$$

where k_n is a strictly increasing sequence of positive integers and d_n are non-zero base- b digits, $d_n \in \{1, \dots, b-1\}$.

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Definition

The function \hat{A}_b^ξ , defined by $\hat{A}_b^\xi(n) = d_n b^{-k_n}$ for $n > 0$ and $\hat{A}_b^\xi(0) = 0$ is called *base- b sum approximation from below* of the number ξ .

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For a class of functions \mathcal{S} we denote by $\mathcal{S}_{b\uparrow}$ the set of all real numbers, which have a base- b sum approximation from below in \mathcal{S} , that is

$$\xi \in \mathcal{S}_{b\uparrow} \iff \hat{A}_b^\xi \in \mathcal{S}.$$

Base- b sum approximations from above

Moreover, we can write

$$\xi = 1 - \frac{d'_1}{b^{m_1}} - \frac{d'_2}{b^{m_2}} - \frac{d'_3}{b^{m_3}} - \cdots,$$

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Results on sum approximations

Theorem (Kristiansen)

*Let S be a subrecursive class, closed under elementary operations.
For any base b we have*

$$S_{b\uparrow} \not\subseteq S_{b\downarrow} \text{ and } S_{b\downarrow} \not\subseteq S_{b\uparrow}.$$

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Theorem (Kristiansen)

Let S be a subrecursive class, closed under primitive recursive operations. For all bases a, b we have

$$\mathcal{S}_{b\uparrow} \subseteq \mathcal{S}_{a\uparrow} \iff \mathcal{S}_{b\downarrow} \subseteq \mathcal{S}_{a\downarrow}$$

if and only if every prime factor of a is a prime factor of b .

General sum approximations

Let $\xi \in (0, 1)$ be an irrational number and b be any base.

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The function $\hat{G} : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}$, such that

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General sum approximations

Let $\xi \in (0, 1)$ be an irrational number and b be any base.

Definition

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Proposition

For any subrecursive class \mathcal{S} , closed under primitive recursive operations we have

$$\mathcal{S}_{T\uparrow} \cap \mathcal{S}_D = \mathcal{S}_{g\uparrow} \quad \text{and} \quad \mathcal{S}_{T\downarrow} \cap \mathcal{S}_D = \mathcal{S}_{g\downarrow}.$$

Numbers with interesting properties

Let P_n denote the n -th prime ($P_0 = 2, P_1 = 3, \dots$).

For any honest function f we define the rational number α_n^f and the irrational number α^f by

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For any honest function f we have $f \leq_{PR} \hat{G}$, where \hat{G} is the general sum approximation from below of α^f .

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It follows that α_f has an elementary trace function from above, as well as elementary Dedekind cut.

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A symmetric argument yields $\mathcal{S}_{g\uparrow} \not\subseteq \mathcal{S}_{g\downarrow}$.

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My favourite theorem from logic course

нека X е теория; казваме, че X е **пълна** теория, ако X е непротиворечива и за всяка формула A имаме $A \in X$ или $\neg A \in X$;

нека X е теория; казваме, че X е **дизюнктивна** теория, ако X е непротиворечива и за всеки две формули A и B от $A \vee B \in X \rightarrow A \in X$ или $B \in X$;

нека X е теория; казваме, че X е **максимална** теория, ако X е непротиворечива и за всяка теория непротиворечива теория Y , $X \subseteq Y$, имаме $X = Y$;

Теорема: нека X е произволна теория; следните три твърдения са еквивалентни:

1. X е пълна теория;
2. X е дизюнктивна теория;
3. X е максимална теория;

Доказателство:
доказателството ще проведем чрез следната схема от импликации:
 $1 \rightarrow 3 \rightarrow 2 \rightarrow 1$;
 $(1 \rightarrow 3)$ нека X е пълна теория; ще покажем, че X е максимална; имаме, че X е непротиворечива; нека Y е непротиворечива теория и $X \subseteq Y$; ще покажем, че $X = Y$; достатъчно е да покажем, че $Y \subseteq X$; да допуснем, че съществува формула A , такава че $A \in Y$ и $A \notin X$; X е пълна теория $\rightarrow \neg A \in X \subseteq Y$, т.е. $\neg A \in Y$, $A \in Y \rightarrow Y$ е противоречива – противоречие; така за всяка формула $A \in Y$ имаме $A \in X$, т.е. $Y \subseteq X$ и окончателно $X = Y$;
 $(3 \rightarrow 2)$ нека X е максимална теория; ще покажем, че X

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*HAPPY 80TH ANNIVERSARY,
PROFESSOR VAKARELOV!*

Thank you for your attention!