

Turing reducibility and Enumeration reducibility

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- Relative computability
- Turing reducibility
- Turing jump
- Genericity and forcing
- Jump inversion
- Enumeration reducibility
- Quasi-minimal degree
- Selmans's theorem
- The minimal pair theorem

Enumeration of the partial computable functions

We will consider only partial functions on the set of the natural numbers \mathbb{N} .

Let $\{\varphi_i^{(n)}\}_{i \in \omega, n}$ be the standard listings of the Turing computable functions on n arguments. Here i is the code of the Turing machine M_i which computes $\varphi_i^{(n)}$.

Fact. *The Turing computable functions coincides with the μ -recursive ones.*

Definition. We say that the function f is μ -recursive (partial recursive) if it can be obtain from the basic O , S , and I_m^n by the operations superpositions primitive recursion and μ operation appliaied finitely many times.

Denote by $W_i^{(n)} = \text{dom}(\varphi_i^{(n)})$ the r.e (c.e) set -the domain of $\varphi_i^{(n)}$. We know that the set is c.e. iff it is a domain of a partial computable function.

Properties of the p.c. functions and c.e. sets

Theorem. (Turing, 1936) *There exists a Turing machine U the Universal Turing Machine which if given input (e, x) simulates the e th Turing machine with input x :*

$$U(e, x) = \varphi_e(x).$$

Theorem. [Normal form theorem] *There exists a primitive recursive function T_n s.t.*

- 1 $\downarrow \varphi_e^{(n)}(\bar{x}) \iff \exists z [T_n(e, \bar{x}, z) = 0]$
- 2 $\varphi_e^{(n)}(\bar{x}) = L(\mu z [T_n(e, \bar{x}, z) = 0]).$

Corollary. *Normal form of the c.e sets:*

$$W_e = \{x \mid \exists z [T_1(e, x, z) = 0]\}.$$

Definition. Kleene' set $K = \{x \mid x \in W_x\} = \bar{L}_d$

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Corollary. Normal form of the c.e sets:

$$W_e = \{x \mid \exists z [T_1(e, x, z) = 0]\}.$$

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Oracle Turing machines

Let $A \subseteq \mathbb{N}$.

Definition. The oracle TM (OTM) with an oracle A is a TM M with a query tape and special states $q_?$; q_{Yes} and q_{No} , such that: M runs as a usual TM, but when moving to state $q_?$ the oracle A is consulted with query y (on a separate tape) and if $y \in A$, M is restarted at state q_{Yes} else at state q_{No}

Definition. A function ψ is A -Turing computable if ψ is computable by an oracle Turing machine with oracle A .

Definition. A set B is said to be A -Turing computable, or Turing reducible to A ($B \leq_T A$) if B is A -Turing computable, i.e. the characteristic function c_B is A -Turing computable.

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Register machines with oracle

Let $A \subseteq \mathbb{N}$.

Definition. Register machines with oracle (RMO): The same as register machines with an additional command $O(n)$ to the basics: $Z(n)$, $S(n)$, $T(m, n)$, $J(m, n, q)$, which asks the oracle with the contents of the n th register. If the oracle says "yes" then it writes 1 in the n th reg otherwise writes 0.

Proposition. A function ψ is RM computable with an oracle A \iff it is A -Turing computable.

Definition. A function is p.c in A \iff it can be obtained from the basic functions O , S , I_k^n and c_A by superposition, primitive recursion and μ -operation, applied finitely many times.

Let $A \subseteq \mathbb{N}$.

Denote by φ_e^A or $\{e\}^A$ the Turing computable function by the TM with code e with oracle A .

- 1 If A is decidable, then $(\forall B \subseteq \mathbb{N})(A \leq_T B)$, i.e. $c_A \leq_T B$ for an arbitrary oracle B .
- 2 $A \leq_T \mathbb{N} \Rightarrow A$ is decidable.

Definition. $A \equiv_T B \iff (A \leq_T B \ \& \ B \leq_T A)$.

Proposition. $(\forall A \subseteq \mathbb{N})(A \equiv_T \bar{A})$.

Easy- change only 0/1 in the oracle answers.

Definition. Let $A, B \subseteq \mathbb{N}$. A is m -reducible to B

$$A \leq_m B \iff (\exists h - \text{total computable})(x \in A \iff h(x) \in B).$$

Proposition. If A is c.e, then $A \leq_m K$.

Proof.

$$g(x, y) \simeq \begin{cases} 0 & , x \in A \\ \neg \downarrow & , x \notin A. \end{cases}$$

By S_n^m theorem, there is a pr. rec function h , s.t.

$\varphi_{h(x)}(y) \simeq g(x, y)$. Then

$$x \in A \iff \downarrow \varphi_{h(x)}(h(x)) \iff h(x) \in K. \quad \square$$

Theorem. (S_n^m -theorem) $(\forall m)(\forall n)(\exists S_n^m)$ a primitive recursive function:

$$(\forall a)(\forall \bar{x})(\forall \bar{y})(\forall A)(\varphi_a^{A, (m+n)}(\bar{x}, \bar{y}) \simeq \varphi_{S_n^m(a, \bar{x})}^{A, (n)}(\bar{y})).$$

Proposition. $A \leq_m B, B \leq_m C \Rightarrow A \leq_m C$.

Proof.

Let $x \in A \iff h(x) \in B$ and $x \in B \iff g(x) \in C$, where g and h are computable. Then $x \in A \iff g(h(x)) \in C$, i.e. $A \leq_m C$. □

Proposition. If $A \leq_m B$, then $A \leq_T B$. $\bar{K} \leq_T K, \bar{K} \not\leq_m K$.

Definition. A is *computable enumerable in* B :

$$A \leq_{c.e.} B \iff A = \text{dom}(\{a\}^B)$$

for some TM with code a .

- 1 If A is c.e., then $(\forall B)(A \leq_{c.e.} B)$.
- 2 If $A \leq_{c.e.} \mathbb{N}$, then A is c.e.

Proposition. $A \leq_m B, B \leq_{c.e.} C \Rightarrow A \leq_{c.e.} C$.

Proof.

Let h be a computable: $x \in A \iff h(x) \in B$ and e :
 $B = \text{dom}(\varphi_e^C)$. Let $g(x) \simeq \varphi_e^C(h(x))$. Then
 $x \in A \iff h(x) \in B \iff \downarrow g(x)$. Hence $A = \text{dom}(g)$ but
 $g \leq_T C$, then $A \leq_{c.e.} C$. □

Proposition. $A \leq_T B \Rightarrow A \leq_{c.e.} B$.

Proof.

Let $c_A = \{a\}^B$. Construct a new TM:

- 1 execute $\{a\}^B(x)$ with output y
- 2 if $y = 1$ stop
- 3 if $y = 0$ infinite loop



Definition. $W_a^B = \text{dom}(\{a\}^B)$, $K_B = \{a \mid a \in W_a^B\}$.

$K_B \leq_{c.e.} B$ and $\overline{K_B} \not\leq_{c.e.} B$, $K_B \not\leq_T B$.

Proposition. $A \leq_{c.e.} B, B \leq_T C \Rightarrow A \leq_{c.e.} C$.

Proposition. $A \leq_T B, B \leq_T C \Rightarrow A \leq_T C$.

From $A \leq_{c.e.} B, B \leq_{c.e.} C$ it does not follow that $A \leq_{c.e.} C$. Since $\overline{K} \leq_{c.e.} K$ and $K \leq_{c.e.} \emptyset$, (K is c.e.), then $\overline{K} \leq_{c.e.} \emptyset \leq_T \mathbb{N}$. Thus \overline{K} is c.e., a contradiction.

Proposition.

$$A \leq_T B \iff A \leq_{c.e.} B \ \& \ \bar{A} \leq_{c.e.} B.$$

Proof.

Let $A \leq_T B$. Then $A \leq_{c.e.} B$, and $\bar{A} \leq_{c.e.} B$ ($\bar{A} \leq_T B$)

Let $A \leq_{c.e.} B$ and $\bar{A} \leq_{c.e.} B$. Then there are TM P and Q , such that $\{P\}^B = \chi_A$, $\{Q\}^B = \chi_{\bar{A}}$. Then we construct a TM PQ , which computes P and Q with two tapes, step by step, and gives in output 1 if P halts, and 0 if Q ends.

$$\begin{aligned} & (\forall x)(\downarrow \{P\}^B(x) \vee \downarrow \{Q\}^B(x)) \\ \Rightarrow & (\forall x) \downarrow \{PQ\}^B(x). \end{aligned}$$

So $A \leq_T B$.



The relation \equiv_T is an equivalence relation.

Definition. *The Turing degree of the set A is the equivalence class containing A :*

$$d_T(A) = \{B \mid B \equiv_T A\}.$$

Definition. $d_T(A) \leq d_T(B) \iff A \leq_T B$

The Turing upper semi-lattice

Let D_T be the set of all Turing degrees. (D_T, \leq) is a partial order.

Definition.[The \oplus operation - join]

$$A \oplus B = \{2x \mid x \in A\} \cup \{2x + 1 \mid x \in B\}.$$

Proposition. $d_T(A \oplus B)$ is the least upper bound of $d_T(A)$ and $d_T(B)$.

Proof.

- 1 $x \in A \iff 2x \in A \oplus B \Rightarrow A \leq_m A \oplus B \Rightarrow A \leq_T A \oplus B$, i.e. $A \oplus B$ is a upper bound of A and B .
- 2 Let $c_A = \{a\}^C$, $c_B = \{b\}^C$. Then $c_{A \oplus B}$ is comp.rel to C

$$c_{A \oplus B}(x) \simeq \begin{cases} \varphi_a^C(\lfloor x/2 \rfloor) & , \text{ if } x \text{ is odd,} \\ \varphi_b^C(\lfloor x/2 \rfloor) & , \text{ if } x \text{ is even.} \end{cases}$$



The Turing jump

$D_T = (D_T, \leq, \oplus, \mathbf{0}_T)$ is a upper semi-lattice, where $\mathbf{0}_T = d_T(\emptyset) = d_T(R)$, R - decidable.

Definition. The Turing jump of the set A :
 $A' = K_A = \{x \mid x \in \text{dom}(\varphi_x^A)\}$.

Proposition.

- 1 $K_A \leq_{c.e.} A$;
- 2 $B \leq_{c.e.} A \Rightarrow B \leq_m K_A$. *Hint:*

$$g(x, y) \simeq \begin{cases} 0 & , x \in B \\ \neg \downarrow & , x \notin B. \end{cases}$$

By S_n^m th. $\varphi_{h(x)}^A(y) \simeq g(x, y)$. Then

$$x \in B \iff \downarrow \varphi_{h(x)}^A(h(x)) \iff h(x) \in K_A.$$

- 3 $A <_T K_A$, since $\overline{K^A} \not\leq_{c.e.} A$.

Monotonicity of the Turing jump

Proposition. $A \leq_T B \iff A' \leq_m B'$.

Proof.

(\Rightarrow) Let $A \leq_T B$. We have $A' \leq_{c.e.} A$ and then $A' \leq_{c.e.} B$. Thus $A' \leq_m B'$ (by(2)).

(\Leftarrow) Let $A' \leq_m B'$. We have $A \leq_{c.e.} A \Rightarrow A \leq_m A' \leq_m B'$ and $\bar{A} \leq_{c.e.} A \Rightarrow \bar{A} \leq_m A' \leq_m B'$. Then $A \leq_m B'$, $\bar{A} \leq_m B'$, But by (1) $B' \leq_{c.e.} B$ and then $A \leq_{c.e.} B$, $\bar{A} \leq_{c.e.} B$. By Kleene-Post th. $A \leq_T B$. \square

Corollary. [Monotonicity of the jump] $A \leq_T B \Rightarrow A' \leq_T B'$.

Definition. $(d_T(A))' = d_T(A')$.

Since $A <_T K_A$, then $d_T(A) < d_T(A')$.

Definition. τ is a *finite part*, if $\tau : [0; n - 1] \longrightarrow \mathbb{N}$ is a finite function. denote by $|\tau| = n$ the length of the interval, where τ is defined.

$$(\tau * a)(x) \simeq (\tau * n \rightarrow a)(x) \simeq \begin{cases} \tau(x) & \text{if } 0 \leq x < n, \\ a & \text{if } x = n. \end{cases}$$

If A is a set, we write $\tau \subseteq A$ instead of $\tau \subseteq c_A$.

Definition. The set A is *generic*, if for every c.e. set S of finite parts:

$$(\exists \alpha \subseteq A) \underbrace{(\alpha \in S \vee (\forall \beta \supseteq \alpha)(\beta \notin S))}_{\alpha \text{ decides } S}.$$

Equivalently:

Definition. S is dense in A , if $(\forall \alpha \subseteq A)(\exists \beta \in S)(\alpha \subseteq \beta)$. Then A is generic, if any time when S is dense in A , then A meets S , i.e. $(\exists \alpha \subseteq A)(\alpha \in S)$.

Constructing generic sets

The c.e. sets of finite parts we can list in a sequence, and moreover There is a total computable function h , s.t. $S_e = W_{h(e)}$.

The construction:

- We construct on steps finite parts α_n , which will approximate C_A .
- We start with $\alpha_0 = \emptyset$.
- For α_{n+1} we ask if there is an extension of α_n in S_n . If there is set α_{n+1} to be the least one. If there is not let $\alpha_{n+1} = \alpha_n$.

It is clear that the construction assures that A is generic.

Generic sets - some Properties

Let A be a generic set.

Proposition. *A not a finite set.*

Proof.

Assume that it is. $\exists n$, s.t. $x \in A \Rightarrow x < n$. Let $S = \{\alpha \mid (\exists m > n)(\alpha(m) \simeq 1)\}$ - c.e. Since A is generic then $(\exists \alpha)(\alpha \in S \vee (\forall \beta \supseteq \alpha)(\beta \notin S))$. It is clear that $\alpha \notin S$. Then $(\forall \beta \supseteq \alpha)(\forall m > n)(\beta(m) \not\approx 1)$, which is impossible. Hence A is infinite. \square

Generic sets - some Properties

Proposition. *If $V \subseteq A$ is c.e., then V is finite.*

Proof.

Let $S = \{\alpha \mid (\exists x)(\alpha(x) \simeq 0 \ \& \ x \in V)\}$ - c.e. Since A is generic then $\exists \alpha$ s.t. $\alpha \in S \vee (\forall \beta \supseteq \alpha)(\beta \notin S)$. $\alpha \notin S$. Then $(\forall \beta \supseteq \alpha)(\forall x)(\beta(x) \simeq 0 \Rightarrow x \notin V)$. Let $n \geq |\alpha|$, then for every $\beta \supseteq \alpha$, with $|\beta| = n$, $\beta(n) = 0$ hence $n \notin V$. Thus V is finite. \square

Corollary. *A is not c.e., since $A \subseteq A$ is infinite.*

Generic sets - some Properties

Proposition. *If $V \leq_T A$ is c.e., then V is decidable.*

Proof.

We know $\bar{V} \leq_T V \leq_T A$, hence there is an a , s.t.

$\bar{V} = \text{dom}(\{a\}^A)$. Let $S = \{\alpha \mid (\exists x \in V)(\downarrow \{a\}^\alpha(x))\}$. Since S is c.e. and A generic there is $\alpha \subseteq A$, s.t. $\alpha \in S \vee (\forall \beta \supseteq \alpha)(\beta \notin S)$. If $\alpha \in S$, then $(\exists x \in V)(\downarrow \{a\}^A(x)) \iff x \in \bar{V}$, a contradiction. Then $(\forall \beta \supseteq \alpha)(\forall x \in V)(\neg \downarrow \{a\}^\beta(x))$.

$$x \in \bar{V} \iff (\exists \beta \supseteq \alpha)(\downarrow \{a\}^\beta(x)),$$

i.e. \bar{V} is c.e., V is c.e., therefore V is decidable . □

Definition. A models the formula $F_e(x)$:

$$A \models F_e(x) \iff \{e\}^A(x) \iff x \in W_e^A.$$

Definition. The finite part α forces formula $F_e(x)$:

$$\alpha \Vdash F_e(x) \iff \downarrow \{e\}^\alpha(x).$$

- 1 $\alpha \subseteq A \& \alpha \Vdash F_e(x) \Rightarrow A \models F_e(x)$.
- 2 $\alpha \subseteq \beta \& \alpha \Vdash F_e(x) \Rightarrow \beta \Vdash F_e(x)$.
- 3 $A \models F_e(x) \Rightarrow (\exists \alpha \subseteq A)(\alpha \Vdash F_e(x))$

Lemma. The set $\{(\alpha, e, x) \mid \alpha \Vdash F_e(x)\}$ is c.e.

Definition.

$$A \models \neg F_e(x) \iff A \not\Vdash F_e(x) \iff \neg \downarrow \{e\}^A(x)$$

Definition.

$$\alpha \Vdash \neg F_e(x) \iff (\forall \beta \supseteq \alpha)(\beta \not\Vdash F_e(x)).$$

Theorem. *Let A be generic. Then*

$$A \models \neg F_e(x) \iff (\exists \alpha \subseteq A)(\alpha \Vdash \neg F_e(x)).$$

Proof.

(\Leftarrow) Let $\alpha \subseteq A$ & $\alpha \Vdash \neg F_e(x)$. Suppose that $A \not\Vdash \neg F_e(x)$, i.e. $A \Vdash F_e(x) \Rightarrow (\exists \beta \subseteq A)(\beta \Vdash F_e(x))$. Let $\gamma = \alpha \cup \beta$. Then $\gamma \supseteq \beta \Rightarrow \gamma \Vdash F_e(x)$, but $\gamma \supseteq \alpha, \alpha \Vdash \neg F_e(x) \Rightarrow \gamma \not\Vdash F_e(x)$ - a contradiction.

(\Rightarrow) Let $A \Vdash \neg F_e(x)$. We search for $\alpha \subseteq A, \alpha \Vdash \neg F_e(x)$, i.e. no extension of α does not force $F_e(x)$. A is generic. Indeed suppose that $(\forall \alpha \subseteq A)(\alpha \not\Vdash \neg F_e(x)) \iff (\forall \alpha \subseteq A)(\exists \beta \supseteq \alpha)(\beta \Vdash F_e(x))$. Set $S_{e,x} = \{\beta \mid \beta \Vdash F_e(x)\}$. $S_{e,x}$ is c.e. and dense in A , then there is $\alpha \subseteq A, \alpha \in S_{e,x} \iff \alpha \Vdash F_e(x) \Rightarrow A \Vdash F_e(x)$, a contradiction. So, $(\exists \alpha \subseteq A)(\alpha \Vdash \neg F_e(x))$. □

Corollary. [Truth lemma] If A is generic, then

$$A \models (\neg)F_e(x) \iff (\exists \alpha \subseteq A)(\alpha \Vdash (\neg)F_e(x)).$$

Notice that $\{(\alpha, e, x) \mid \alpha \Vdash \neg F_e(x)\} \leq_T \emptyset'$.

Corollary. For A generic $A' \equiv_T A \oplus \emptyset'$.

Proof.

- 1 A' is an upper bound of \emptyset' and $A \Rightarrow \emptyset' \oplus A \leq_T A'$.
- 2 $A' = K_A = \{x \mid x \in W_x^A\} \leq_{c.e.} A$, then there is e , s.t.
 $x \in K_A \iff \downarrow \{e\}^A(x) \iff A \models F_e(x) \iff (\exists \alpha \subseteq A)(\alpha \Vdash F_e(x)) \leq_T A \oplus \emptyset'$. A is generic then
 $x \in \overline{K_A} \iff \neg \downarrow \{e\}^A(x) \iff A \not\models F_e(x) \iff (\exists \alpha \subseteq A)(\alpha \Vdash \neg F_e(x)) \leq_T A \oplus \emptyset'$. Thus $K_A = A' \leq_T A \oplus \emptyset'$.



Jump inversion theorem

Theorem. [Jump inversion theorem, Fridberg] Let $\emptyset' \leq_T B$. There exists a generic A , s.t. $A' \equiv_T B$.

Proof.

We construct A by steps, so that $A \leq_T B$ and A - generic. Then $A' \equiv_T \emptyset \oplus A \Rightarrow A' \leq_T B$. For the other direction we code B in $A \oplus \emptyset'$. On each step n we define a finite part α_n of c_A .

Let $\alpha_0 = \emptyset$. If α_n is constructed then we ask: Is it true that:

$(\exists \beta \supseteq \alpha_n)(\beta \in S_n)$?" . Since the set

$V = \{(\alpha, n) \mid (\exists \beta \supseteq \alpha)(\beta \in S_n)\}$ is c.e, then $V \leq_T K = \emptyset'$. If

yes, set α_n^* will be the minimal such β , if **no**, then $\alpha_n^* = \alpha_n$. Thus assures that A is generic. Set $\alpha_{n+1} = \alpha_n^* * c_B(n)$. \square

Jump inversion theorem

Proof.

- 1 $A \leq_T B$. Since $|\alpha_{x+1}| \geq x$, $x \in A \iff x \in \alpha_{x+1}$. But $\alpha_n \leq_T B \oplus \emptyset' \leq_T B$.
- 2 A is generic, since α_n^* assures genericity with respect to S_n .
- 3 $B \leq_T A \oplus \emptyset'$. We have $k \in B \iff \alpha_{k+1}(|\alpha_k^*|) = 1$. We can construct B repeating the construction, changing $c_B(n)$ with $c_A(|\alpha_n^*|)$. So, using oracle A and \emptyset' we have $B \leq_T A \oplus \emptyset'$.

Thus A is generic and $A' \equiv_T B$. □

Corollary. *There exists $A \not\equiv_T \emptyset$ - generic s.t. $A' \equiv_T \emptyset'$.*

Corollary. *There exists A - generic, s.t. $\emptyset \not\leq_T A \not\leq_T A' \equiv_T \emptyset'$.*

Definition. The operator $\Gamma : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ is an *enumeration operator*, if:

- 1 $x \in \Gamma(A) \iff (\exists D \subseteq A)(x \in \Gamma(D) \ \& \ D \text{ - finite})$ (Γ is compact),
- 2 There is a total computable function h , s.t. $\Gamma(W_a) = W_{h(a)}$ (Γ is effective).

Definition. The set A is *enumeration reducible* to B :

$$A \leq_e B \iff (\exists \Gamma \text{ - e-operator})(A = \Gamma(B)).$$

Proposition. $A \leq_e B, B \leq_e C \Rightarrow A \leq_e C$.

Enumeration operator

Proposition. Γ is e-operator \iff there exists c.e. W , s.t.:

$$\Gamma(A) = \{x \mid (\exists v)(\langle x, v \rangle \in W \ \& \ D_v \subseteq A)\}$$

Proof.

(\Leftarrow) Let $x \in \Gamma(A) \iff (\exists v)(\langle x, v \rangle \in W \ \& \ D_v \subseteq A)$.

① (compact)

$$x \in \Gamma(A) \Rightarrow (\exists v)(\langle v, x \rangle \in W \ \& \ D_v \subseteq A) \Rightarrow x \in \Gamma(D).$$

② (monotone).

③ (effective) $x \in \Gamma(W_a) \iff (\exists v)(\langle v, x \rangle \in W \ \& \ D_v \subseteq W_a)$.

$$R = \{(a, x) \mid \underbrace{(\exists v)(\langle v, x \rangle \in W \ \& \ (\forall y \in D_v)(y \in W_a))}_{\text{c.e. condition}}\}.$$

Let $R = W_e$, $h(a) = S_1^1(e, a)$.

$$x \in \Gamma(W_a) \iff (a, x) \in R \iff x \in W_{h(a)}.$$

Proof.

(\Rightarrow) Let Γ is compact and effective. Then

$$x \in \Gamma(A) \iff (\exists D \text{ - finite})(D \subseteq A \& x \in \Gamma(D))$$

$$\iff (\exists v)(D_v \subseteq A \& x \in \Gamma(D_v))$$

$$\iff (\exists v)(D_v \subseteq A \& x \in \Gamma(W_{\lambda(v)}))$$

$$\text{(effective)} \iff (\exists v)(D_v \subseteq A \& x \in W_{h(\lambda(v))})$$

$$\iff (\exists v)(D_v \subseteq A \& \langle x, v \rangle \in W),$$

$$\text{where } W = \{\langle x, v \rangle \mid x \in W_{h(\lambda(v))}\}.$$



$A \leq_e B$ if there exists an effective procedure that, given any enumeration of B , computes an enumeration A .

Example

- $A \leq_e A$ via the c.e. set $W = \{ \langle n, \{n\} \rangle \mid n \in \mathbb{N} \}$.
- If A is c.e. and B is any set, then $A \leq_e B$ via the c.e. set $W = \{ \langle n, \emptyset \rangle \mid n \in A \}$.
- If f is computable and $A = f^{-1}(B)$ (i.e. $A \leq_m B$), then $A \leq_e B$ via the c.e. set $W = \{ \langle n, \{f(n)\} \rangle \mid n \in stN \}$.
- More generally, if A is c.e in B , $A = \{x \mid \varphi_e^B(x) \downarrow\}$ then $A \leq_e B \oplus \bar{B}$ via the

$$W = \{ \langle x, D \oplus E \rangle \mid \varphi_e^D(x) \downarrow \ \& \ Q^-(\varphi_e^D, x) = E \}.$$

$\langle f \rangle$ denotes the graph of the function f , i.e.
 $\langle f \rangle = \{ \langle x, y \rangle \mid f(x) = y \}.$

Example

If f is total, then $\langle \bar{f} \rangle \leq_e \langle f \rangle$ via the set

$$W = \{ \langle \langle x, y \rangle, \{ \langle x, z \rangle \} \rangle \mid y \neq z \}.$$

- Y is *total* if $\bar{Y} \leq_e Y$. $X \oplus \bar{X}$ is total for any X and if f is total then $\langle f \rangle$ is total.
- If Y is total then, for any X : $X \leq_e Y \iff X$ is c.e. in Y .
- Consequence $A \leq_T B \iff A \oplus \bar{A} \leq_e B \oplus \bar{B}$.

Enumeration reducibility of functions

Definition. $\varphi \leq_e \psi \iff \langle \varphi \rangle \leq_e \langle \psi \rangle$.

Proposition. $\varphi \leq_T \psi \Rightarrow \varphi \leq_e \psi$.

Proof.

Let $\varphi \leq_T \psi$ and $\{e\}^\psi = \varphi$. We look for a c.e. W :

$$x \in \Gamma(\langle \psi \rangle) = \langle \varphi \rangle \iff (\exists v)(\langle v, x \rangle \in W \ \& \ \langle \theta_v \rangle \subseteq \langle \psi \rangle),$$

Let $W = \{ \langle \langle x, y \rangle, v \rangle \mid \{e\}^{\theta_v}(x) \simeq y \}$. W is c.e.

$$\begin{aligned} \langle x, y \rangle \in \Gamma(\langle \psi \rangle) &\iff (\exists v)(\{e\}^{\theta_v}(x) \simeq y \ \& \ \langle \theta_v \rangle \subseteq \langle \psi \rangle) \\ &\iff \{e\}^\psi(x) \simeq y \\ &\iff \varphi(x) \simeq y \\ &\iff \langle x, y \rangle \in \langle \varphi \rangle. \end{aligned}$$

Thus $\varphi \leq_e \psi$

Enumeration reducibility of functions

The enumeration reducibility is weaker than Turing reducibility.

We will prove that $c_K \leq_e \chi_{\overline{K}}$, but $c_K \not\leq_T \chi_{\overline{K}}$.

- 1 Suppose that $c_K \leq_T \chi_{\overline{K}}$. Then there is an e , s.t. $\{e\}^{\chi_{\overline{K}}} = c_K$ - total. But $\downarrow \chi_{\overline{K}}(x) \simeq y \iff y = 1$. Switch all oracle questions with 1. Then we can compute c_K , a contradiction.
- 2 Let

$$W = \{ \langle \langle x, 0 \rangle, v \rangle \mid x \in \mathbb{N} \ \& \ D_v = \{ \langle x, 1 \rangle \} \} \cup \\ \{ \langle \langle x, 1 \rangle, v \rangle \mid x \in K \ \& \ D_v = \emptyset \}.$$

Then W defines an e-operator and $W(\chi_{\overline{K}}) = c_K$.

Definition. $A \equiv_e B \iff A \leq_e B \ \& \ B \leq_e A$.

\equiv_e is an equivalence relation, and the classes of equivalences we call enumeration degrees.

Definition. *Enumeration degree* of A is the class of equivalence of A with respect to \equiv_e :

$$d_e(A) = \{B \mid B \equiv_e A\}.$$

Definition. $d_e(A) \leq d_e(B) \iff A \leq_e B$

Denote by D_e the set of all enumeration degrees. (D_e, \leq) is a partial ordered set. The operation \oplus gives a least upper bound of two e. degrees.

The upper semilattice $D_e = (D_e, 0_e, \oplus, \leq)$

Proposition. $d_e(A \oplus B)$ is the least upper bound of $d_e(A)$ $d_e(B)$.

Proof.

- $x \in A \iff 2x \in A \oplus B \Rightarrow A \leq_m A \oplus B \Rightarrow A \leq_T A \oplus B \Rightarrow A \leq_e A \oplus B$. And
 $x \in B \iff 2x + 1 \in A \oplus B \Rightarrow B \leq_e A \oplus B$, i.e. $A \oplus B$ is a
upper bound of A and B .
- Let $A \leq_e C, B \leq_e C$, i.e. $A = W'(C), B = W''(C)$. Let
 $W = \{\langle x, v \rangle \mid (\exists v' v'')(\langle x, v' \rangle \in W') \& (\langle x, v'' \rangle \in W'') \& D_v = D_{v'} \oplus D_{v''}\}$. W is c.e.. And $W(C) = A \oplus B$, i.e. $C \leq_e A \oplus B$.

□

Denote by $0_e = \{W \mid W \text{ is c.e.}\}$, $0_e \leq a$ for an arbitrary a .

$D_e = (D_e, 0_e, \oplus, \leq)$ is a upper semi-lattice.

Total enumeration degrees

A is *total*, if $A \equiv_e A \oplus \bar{A} = A^+$.

Proposition.

- 1 $A^{++} \equiv_e A^+$, i.e.. A^+ is total.
- 2 $A^+ \equiv_e \langle c_A \rangle$.
- 3 A is total $\iff A \equiv_e \langle \chi_A \rangle$.
- 4 If f is total, then $\langle f \rangle$ is total.

Every decidable set is total. K is not total since $\bar{K} \not\equiv_e K$.

Definition. $a \in D_e$ is *total*, if there is a total $A \in a$.

Remark 0_e is total e-degree, but $K \in 0_e$ is not total.

Rogers embedding

The total degrees in D_e form an upper semi-lattice isomorphic to D_T .

Definition.[Rogers, Michael] $\varkappa : D_T \rightarrow D_e$ is *Rogers embedding*, defined as $\varkappa(d_T(A)) = d_e(A^+)$.

Proposition.

- 1 (correctness) $A \equiv_T B \iff A^+ \equiv_e B^+$;
- 2 $\text{Range}(\varkappa) = \text{Tot} = \{a \mid a \text{ is total } e\text{-degree}\}$. Indeed if $A \in a \in \text{Tot}$, then since $A \equiv_e A^+$ we have $A^+ \in a \Rightarrow \varkappa(d_T(A)) = a$ and thus $a \in \text{Range}(\varkappa)$. But if $a \in \text{Range}(\varkappa)$, then $a = d_e(A^+) \Rightarrow a \in \text{Tot}$.
- 3 (injective) Let $\varkappa(d_T(A)) = \varkappa(d_T(B)) \Rightarrow A^+ \equiv_e B^+ \Rightarrow A \equiv_T B \Rightarrow d_T(A) = d_T(B)$.
- 4 (isomorphic embedding)
 $A \leq_T B \Rightarrow \varkappa(d_T(A)) = A^+ \leq_e B^+ = \varkappa(d_T(B))$.

So, \varkappa is an isomorphic embedding of D_T in the total degrees in D_e . To see that it is strong embedding: $Range(\varkappa) = Tot \subsetneq D_e$, we have to show that there are nontotal degrees.

Definition.

$$A \Vdash_e F_a(x, y) \iff \langle x, y \rangle \in W_a(A),$$

$$\alpha \Vdash_e F_a(x, y) \iff \langle x, y \rangle \in W_a(\alpha^+), \text{ where } \alpha^+ = \{x \mid \alpha(x) \simeq 1\}.$$

Properties:

- 1 $\alpha \subseteq A \& \alpha \Vdash_e F_a(x, y) \Rightarrow A \Vdash_e F_a(x, y)$ (monotonicity).
- 2 $\alpha \subseteq \beta \& \alpha \Vdash_e F_a(x, y) \Rightarrow \beta \Vdash_e F_a(x, y)$, since $\alpha^+ \subseteq \beta^+$;
- 3 $A \Vdash_e F_a(x, y) \Rightarrow (\exists \alpha \subseteq A)(\alpha \Vdash_e F_a(x, y))$ (compactness)

Proposition. *Let A be generic and $\varphi \leq_e A$. There exists a computable function ψ , s.t. $\varphi \subseteq \psi$.*

Nontotal degrees

Proof.

Let A be a generic and $\varphi \leq_e A$, $\langle \varphi \rangle = W_a(A)$. Then

$\langle x, y \rangle \in \langle \varphi \rangle \iff A \models_e F_a(x, y)$. Consider

$S = \{\alpha \mid (\exists x)(\exists y_1)(\exists y_2)(\alpha \Vdash_e F_a(x, y_1) \& \alpha \Vdash_e F_a(x, y_2) \& y_1 \neq y_2)\}$.

There is $\alpha \subseteq A$, $\alpha \notin S$ and then $(\forall \beta \supseteq \alpha)(\beta \notin S)$. Define $\psi(x) \simeq y \iff (\exists \beta \supseteq \alpha)(\beta \Vdash_e F_a(x, y))$. ψ is a function and ψ is computable.

Let $\varphi(x) \simeq y$. Then

$A \models_e F_a(x, y) \Rightarrow (\exists \beta \supseteq \alpha)(\beta \Vdash_e F_a(x, y)) \Rightarrow \psi(x) \simeq y$.

Thus $\varphi \subseteq \psi$. □

Corollary. $d_e(A)$ is nontotal for A - generic.

Corollary. If A is generic, X total and $X \leq_e A$, then $X \leq_e \emptyset$.

Definition. A is *quasi-minimal* if

- 1 $A \not\leq_e \emptyset$;
- 2 If $X \leq_e A$ and X is total, then $X \leq_e \emptyset$.

From the previous Proposition:

Proposition. *Each generic set is quasi-minimal.*

Regular enumerations

Definition. Let $B \subseteq \mathbb{N}$. The total function $f : \mathbb{N} \rightarrow \mathbb{N}$ is *regular enumeration* of B , if $f(2\mathbb{N} + 1) = B$.

If $B = \emptyset$, we consider enumerations of $\mathbb{N} = \bar{\emptyset} \equiv_e \emptyset$.
If f is a regular enumeration of B then

$$\chi_B(x) \simeq sg(\mu n[x = f(2n + 1)]).$$

And $c_B \leq_T f$, then $B \leq_e f$.

Definition. *B-regular finite part* is a function $\tau : [0; 2q + 1] \rightarrow \mathbb{N}$, s.t.

$$2x + 1 \in \text{dom}(\tau) \Rightarrow \tau(2x + 1) \in B.$$

If τ is a B -regular finite part, then

$$(\exists f \supseteq \tau)(f \text{ is a reg. enum of } B).$$

Definition.

$$\begin{aligned}f \Vdash F_e(x) &\iff x \in W_e(\langle f \rangle), \\ \tau \Vdash F_e(x) &\iff x \in W_e(\langle \tau \rangle).\end{aligned}$$

$$\tau \Vdash F_e(x) \iff (\exists v)(\langle x, v \rangle \in W_e \& D_v \subseteq \langle \tau \rangle),$$

So $S_\tau = \{\langle e, x \rangle \mid \tau \Vdash F_e(x)\}$ is c.e..

Properties:

- 1 $\tau \subseteq f \& \tau \Vdash F_e(x) \Rightarrow f \Vdash F_e(x)$
- 2 $\tau \subseteq \rho \& \tau \Vdash F_e(x) \Rightarrow \rho \Vdash F_e(x)$
- 3 $f \Vdash F_e(x) \Rightarrow (\exists \tau \subseteq f)(\tau \Vdash F_e(x))$

Proposition. Let $A \not\leq_e B$. There exists a regular enumeration f of B , s.t. $A \not\leq_e f$.

Proof.

We construct a sequence of B regular finite parts

$$\tau_0 \subseteq \tau_1 \subseteq \dots \subseteq \tau_q \subseteq \dots$$

Let $\tau_0(0) = 0, \tau_0(1) = z_0 \in B$. If τ_q is constructed:

1. $q = 2e$. Let $z_0 = \mu z [z \in B \ \& \ z \notin \tau_q(2\mathbb{N} + 1)]$. Set $\tau_{q+1} = \tau_q * 0 * z_0$.
2. $q = 2e + 1$.

$$C = \{x \mid (\exists \rho \supseteq \tau_q)(\rho \text{ is a } B\text{-regular finite part} \ \& \ \rho(|\tau_q|) = x \ \& \ \rho \Vdash F_e(|\tau_q|))\}.$$

Since $C \leq_e B$, then $C \neq A$.

Regular enumerations

Proof.

2 (a). $(\exists x)(x \in C \ \& \ x \notin A)$. Then τ_{q+1} is the minimal ρ from C .

2 (b). $(\exists x)(x \notin C \ \& \ x \in A)$. Then $\tau_{q+1} = \tau_q * x * z_0$ for some $z_0 \in B$.

Let $f = \bigcup_q \tau_q$ - a regular enumeration of B .

Suppose that $A \leq_e f$, i.e. $A = W_e(\langle f \rangle)$. Then

$f^{-1}(A) = \{x \mid f(x) \in A\} \leq_e f$ and there is e , s.t.

$n \in f^{-1}(A) \iff f \models F_e(n)$.

Consider the step $q = 2e + 1$. Let $n = |\tau_q| = 2q + 1$.

Case 1. $n \in f^{-1}(A) \Rightarrow f(n) \in A \Rightarrow (\exists \rho \supseteq \tau_q)(\rho \Vdash F_e(n) \ \& \ \rho(n) = f(n) \in A)$. Then $f(n) \in C \cap A$, A contradiction.

Case 2. $n \notin f^{-1}(A) \Rightarrow f(n) \notin A \Rightarrow (\forall \rho \supseteq \tau_q)(\rho \not\Vdash F_e(n) \ \& \ \rho(n) = f(n) \notin A)$, then $f(n) \notin C$ - a contradiction.

So, $A \not\leq_e f$. □

Selman's theorem

Theorem. [Selman]

$$A \leq_e B \iff (\forall X \text{ - total})(B \leq_e X \Rightarrow A \leq_e X).$$

Proof.

(\Rightarrow) From the transitivity of \leq_e .

(\Leftarrow) Suppose that $A \not\leq_e B$. Then we construct a B -regular enumeration f , s.t. $A \not\leq_e \langle f \rangle$, but $\langle f \rangle$ is total and $B \leq_e \langle f \rangle$, a contradiction. \square

Corollary. If $a, b \in D_e$, then

$$a \leq b \iff (\forall x \text{ - total})(b \leq x \Rightarrow a \leq x).$$

Definition. The sets F and G form a *minimal pair* for B , if

- 1 $B \not\leq_e F, B \not\leq_e G$;
- 2 $A \leq_e F, A \leq_e G \Rightarrow A \leq_e B$,

i.e. B is an greatest lower bound for F and G .

Definition. We call f *generic regular enumeration* of B , if f is a regular enumeration of B and for every $S \leq_e B$, containing only B -regular finite parts.

$$(\exists \tau \subseteq f)(\tau \in S \vee (\forall \rho \supseteq \tau)(\rho \notin S)).$$

Proposition.

Let $B \subseteq \mathbb{N}$, and $\{A_n\}$ is a sequence of sets, s.t. $(\forall n)(A_n \not\leq_e B)$.
Then there exists a generic regular enumeration f of B , s.t.
 $(\forall n)(A_n \not\leq_e f)$.

Proof.

We construct a monotone increasing sequence of B -regular finite parts $\tau_q : [0; 2q + 1] \rightarrow \mathbb{N}$. Let $\tau_0(0) = \tau_0(1) = b_0 \in B$. Suppose that we have constructed τ_q .

1. $q = 3e$. Set $\tau_{q+1} = \tau_q * 0 * b$, where b is the first nonenumerated element of B .
2. $q = 3e + 1$. Genericity of f . Consider $S_e = W_e(B) \cap R_B$, $R_B = \{\tau \mid \tau \text{ is } B\text{-reg fin part}\}$. Let τ_{q+1} be the least $\tau \supseteq \tau_q$, $\tau \in S_e$, if there is. If not $\tau_{q+1} = \tau_q$.
3. $q = 3e + 2$. Let $e = \langle n, k \rangle$. we will assure $f^{-1}(A_n) \neq W_k(\langle f \rangle)$. ($\implies f^{-1}(A_n) \not\leq_e f$ and $A_n \not\leq_e f$). □

Proof.

$$n_q = |\tau_q|$$

$$C_q = \{x \mid x(\underbrace{\exists \tau \supseteq \tau_q}_{\leq_e B} (\tau \text{ is } B\text{-reg.fin, part}) \& \underbrace{\tau(n_q) \simeq x}_{\text{effective}} \& \underbrace{\tau \Vdash F_k(n_q)}_{\text{effective}})\}$$

$C_q \leq_e B$ and then $C_q \neq A_n$.

3.(a) $(\exists x)(x \in C_q \& x \notin A_n)$. We get the minimal such x τ_{q+1} the minimal such τ .

3.(b) $(\exists x)(x \notin C_q \& x \in A_n)$. $\tau_{q+1} = \tau_q * x * b$, where $b \in B$.

Define f as follows:

$$f(n) \simeq x \iff (\exists q)(\tau_q(n) \simeq x)$$



Proof.

By construction f is generic regular enumeration of B . We will show that $f^{-1}(A_n) \not\leq_e f$.

Suppose $f^{-1}(A_n) \equiv_e W_k(\langle f \rangle)$ for some n and k . Consider step $q = 3\langle n, k \rangle + 2$. We know $f(n_q) \simeq x \in A_n \triangle C_q$.

1. $x \in C_q$ & $x \notin A_n$. Then

$f \models F_k(n_q) \Rightarrow n_q \in f^{-1}(A_n) \Rightarrow f(n_q) = x \in A_n$ - a contradiction.

2. $x \notin C_q$ & $x \in A_n$. Then

$n_q \in f^{-1}(A) \Rightarrow (\exists \tau \supseteq \tau_q)(\tau(n_q) \simeq x \text{ \& } \tau \Vdash F_k(n_q)) \Rightarrow x \in C_q$ - a contr.

Then $f^{-1}(A_n) \not\leq_e f \Rightarrow A_n \not\leq_e f$. □

Minimal pair theorem

Theorem. *Let $B \subseteq \mathbb{N}$. There is a minimal pair F and G for B .*

Proof.

Let f be an arbitrary generic regular enumeration of B . Let $\{A_n\}$ is a sequence of those sets that $\leq_e f$ and $\not\leq_e B$ (countable). By the last proposition we can construct g , such that g is generic and $(\forall n)(A_n \not\leq_e g)$. Set $F = \langle f \rangle$, $G = \langle g \rangle$. By the next lemma since $\langle f \rangle, \langle g \rangle$ are generic regular enumerations of B $B \not\leq_e F, B \not\leq_e G$. Let $A \leq_e F, A \leq_e G$. Then $A \notin \{A_n\}$, otherwise $A \not\leq_e G$. Since $A \notin \{A_n\}$, then $A \leq_e B$. □

Lemma. *If f is generic regular enumeration of B , then $f \not\leq_e B$.*

Proof.

Suppose that $f \leq_e B$. Consider

$$S = \{\tau - B\text{-regular finite part} \mid (\exists x)(\downarrow \tau(x) \ \& \ \tau(x) \neq f(x))\}.$$

$S \leq_e B \oplus \langle f \rangle$, but $\langle f \rangle \leq_e B \Rightarrow S \leq_e B$. By genericity of f we have

$$(\exists \tau \subseteq f) \underbrace{(\tau \in S)}_{\tau \not\subseteq f} \vee \underbrace{(\forall \rho \supseteq \tau)(\rho \notin S)}_{\text{not true } f \not\supseteq \rho}.$$

In both cases we have a contradiction. □